Senate File 2411 - Reprinted

SENATE FILE 2411 BY COMMITTEE ON APPROPRIATIONS

(SUCCESSOR TO SF 2378) (SUCCESSOR TO SSB 3164)

Passed	Senate,	Date	Passed	House,	Date	
Vote:	Ayes	Nays	Vote:	Ayes	Nays _	
Approved				-		

A BILL FOR

1 An Act relating to open records and public meetings and providing 2 an effective date and making an appropriation.

3 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

4 SF 2411.S

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Section 1. Section 8A.341, subsection 2, Code 2007, is
    2 amended to read as follows:
           2. If money is appropriated for this purpose, by November
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    4 1 of each year supply a report which contains the name,
    5 gender, county, or city of residence when possible, official 6 title, salary received during the previous fiscal year, base
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    7 salary as computed on July 1 of the current fiscal year, and
    8 traveling and subsistence expense of the personnel of each of
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    9 the departments, boards, and commissions of the state
1 10 government except personnel who receive an annual salary of
  11 less than one thousand dollars. The number of the personnel 12 and the total amount received by them shall be shown for each
1 13 department in the report. All employees who have drawn
1 14 salaries, fees, or expense allowances from more than one
   15 department or subdivision shall be listed separately under the
1 16 proper departmental heading. On the request of the director, 1 17 the head of each department, board, or commission shall
1 18 furnish the data covering that agency. The report shall be 1 19 distributed upon request without charge in an electronic 1 20 medium to each caucus of the general assembly, the legislative
  21 services agency, the chief clerk of the house of
22 representatives, and the secretary of the senate. Copies
23 the report shall be made available to other persons in an
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1 24 electronic medium upon payment of a fee, which shall not 1 25 exceed the cost of providing the copy of the report. Sections 1 26 22.2 through 22.6 22.5 apply to the report. All funds from 1 27 the sale of the report shall be deposited in the printing
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   28 revolving fund established in section 8A.345.
           Sec. 2. Section 8E.202, subsection 1, un
Code 2007, is amended to read as follows:
                       Section 8E.202, subsection 1, unnumbered paragraph
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           The department and each agency shall provide for the widest
   32 possible dissemination of information between agencies and the 33 public relating to the enterprise strategic plan and agency
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   34 strategic plans, including but not limited to internet access.
   35 This section does not require the department or an agency to
    1 release information which is classified as a confidential
   2 record under this Code, including but not limited to section
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    \frac{3}{22.7}.
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                       Section 8E.202, subsection 3, Code 2007, is
    5 amended to read as follows:
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           3. A record which is confidential under this Code-
       including but not limited to section 22.7, shall not be
   8 released to the public under this section.
           Sec. 4. Section 10B.5, subsection 2, Code 2007, is amended
  10 to read as follows:
           2. Information provided in reports required in this
2 12 chapter is a confidential an optional public record as
2 13 provided in section 22.7. The attorney general may have
2 14 access to the reports, and may use information in the reports
2 15 in any action to enforce state law, including but not limited
2 16 to chapters 9H, 9I, and 10C. The reports shall be made
2 17 available to members of the general assembly and appropriate
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2 18 committees of the general assembly in order to determine the 2 19 extent that agricultural land is held in this state by 2 20 corporations and other business and foreign entities and the 2 21 effect of such land ownership upon the economy of this state. 2 22 The secretary of state shall assist any committee of the 23 general assembly studying these issues. Sec. 5. Section 21.2, subsection 1, Code 2007, is amended 24 25 by adding the following new paragraph: NEW PARAGRAPH. i. An entity eligible to exercise 27 tax=exempt bonding authority under chapter 7C, including a 28 nonprofit tax=exempt bonding authority under chapter 7C 29 designated by the state to serve as a secondary market for 30 student loans and a nonprofit tax=exempt bonding authority 31 under chapter 7C whose board of directors is appointed by the 2 32 governor. 33 Sec. 6. Section 21.2, subsection 2, Code 2007, is amended 2 34 to read as follows: "Meeting" means a gathering in person or by 2 2. <u>a.</u> 35 1 electronic means, formal or informal, of a majority of the 2 members of a governmental body where there is deliberation or 3 action upon any matter within the scope of the governmental 4 body's policy=making duties. A "meeting" includes the
5 calculated use of a series of communications, each between
6 less than a majority of the members of a governmental body or
7 their personal intermediaries, that is intermeded to reach and 8 does in fact reach a majority of the members of the 9 governmental body and that is intended to discuss and develop 10 a collective final decision of a majority outside of a meeting 11 with respect to specific action to be taken by the majority at 12 a meeting. b. Meetings shall A "meeting" does not include any of the following: 3 15 (1) a A gathering of members of a governmental body for 3 16 purely ministerial or social purposes when there is no 3 17 discussion of policy or no intent to avoid the purposes of 3 18 this chapter. 3 19 (2) Written electronic communications by one or more 20 members of a governmental body or by its chief executive 21 officer that are ordinarily preserved and are accessible and 22 that are sent to a majority of the members of the governmental 23 body, or a series of such written electronic communications 24 each sent only to a minority of the members of the <u>3 25 governmental body but that in the aggregate are sent to a</u> 26 majority of its members that do both of the following: 3 2.7 (a) Concern a particular matter within the scope of the 28 governmental body's policy=making duties. 3 29 (b) Would otherwise constitute a meeting. However, this exclusion only applies if the written electronic communications, to the extent such communications 3 32 are not exempt from disclosure pursuant to section 22.7 or 33 another statute, are either posted on the governmental body's 34 internet site or public bulletin board at least twenty=four 3 35 hours prior to the next regular meeting or copies are made <u>1 available for public inspection at least twenty=four hours</u> 2 prior to the governmental body's next regular meeting. 3 special meeting is held on the subject matter of the 4 communications before the next regular meeting, the 5 communications shall be posted at least twenty=four hours 6 prior to the special meeting or made available for public 7 inspection at least twenty=four hours prior to that meeting. 8 Sec. 7. Section 21.4, subsections 1 and 3, Code 2007, are 9 amended to read as follows: 8 4 1. A Except as provided in subsection 3, a governmental 4 11 body, except township trustees, shall give notice of the time, 4 12 date, and place of each meeting <u>including a reconvened meeting</u> 4 13 of the governmental body, and its the tentative agenda of the 14 meeting, in a manner reasonably calculated to apprise the 4 15 public of that information. Reasonable notice shall include 4 16 advising the news media who have filed a request for notice 4 17 with the governmental body and posting the notice on a 4 18 bulletin board or other prominent place which is easily 4 19 accessible to the public and clearly designated for that 4 20 purpose at the principal office of the body holding the 4 21 meeting, or if no such office exists, at the building in which 4 22 the meeting is to be held. 3. Subsection 1 does not apply to any of the following: 4 23 4 24 A meeting reconvened within four hours of the start of its recess, where an announcement of the time, date, and place of the reconvened meeting is made at the original meeting in open session and recorded in the minutes of the meeting and 28 there is no change in the agenda.

A meeting held by a formally constituted subunit of a 4 30 parent governmental body may conduct a meeting without notice -31 as required by this section during a lawful meeting of the 4 32 parent governmental body, or during a recess in that meeting
4 33 of up to four hours, or a meeting of that subunit immediately
4 34 following that the meeting of the parent governmental body, if
4 35 the meeting of the publicly announced in open session at the parent meeting and the subject of the meeting reasonably coincides with the subjects discussed or acted upon 5 3 by the parent governmental body. Sec. 8. Section 21.5, subsection 1, paragraphs j and k, Code Supplement 2007, are amended to read as follows: 5 j. To discuss the purchase of particular real estate only where premature disclosure could be reasonably expected to increase the price the governmental body would have to pay for 9 that property. The minutes and the tape audio recording of a 10 session closed under this paragraph shall be available for 5 11 public examination when the transaction discussed is 12 completed.

k. To discuss information contained in records in the 13 5 14 custody of a governmental body that are confidential optional 5 15 public records pursuant to section 22.7, subsection 50. 5 16 Sec. 9. Section 21.5, subsection 1, Code Supplement 2007, 5 17 is amended by adding the following new paragraph:

NEW PARAGRAPH. 1. To discuss patient care quality and 5 19 process improvement initiatives in a meeting of a public 20 hospital or to discuss marketing and pricing strategies or 5 21 similar proprietary information in a meeting of a public 5 22 hospital, where public disclosure of such information would 23 harm such a hospital's competitive position when no public 24 purpose would be served by public disclosure. The minutes and 25 the audio recording of a closed session under this paragraph 26 shall be available for public inspection when the public 5 27 disclosure would no longer harm the hospital's competitive 5 28 position. For purposes of this paragraph, "public hospital" 5 29 means the same as defined in section 249J.3.

Sec. 10. Section 21.5, subsection 4, Code Supplement 2007,

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31 is amended to read as follows: 32 4. A governmental body shall keep detailed minutes of all 33 discussion, persons present, and action occurring at a closed 34 session, and shall also <u>tape audio</u> record all of the closed 35 session. The detailed minutes and <u>tape audio</u> recording of a 1 closed session shall be sealed and shall not be public records 2 open to public inspection. However, upon order of the court 3 in an action to enforce this chapter, the detailed minutes and 4 tape audio recording shall be unsealed and examined by the 5 court in camera. The court shall then determine what part, if 6 any, of the minutes should be disclosed to the party seeking 7 enforcement of this chapter for use in that enforcement 8 proceeding. In determining whether any portion of the minutes 9 or recording shall be disclosed to such a party for this 10 purpose, the court shall weigh the prejudicial effects to the 11 public interest of the disclosure of any portion of the 12 minutes or recording in question, against its probative value 6 13 as evidence in an enforcement proceeding. After such a 6 14 determination, the court may permit inspection and use of all 15 or portions of the detailed minutes and tape audio recording 16 by the party seeking enforcement of this chapter. A 17 governmental body shall keep the detailed minutes and tape 6 18 audio recording of any closed session for a period of at least 6 19 one year from the date of that meeting, except as otherwise

required by law.
Sec. 11. Section 21.6, subsection 3, paragraph a, Code

6 22 2007, is amended to read as follows: a. Shall assess each member of the governmental body who 24 participated in its violation damages in the amount of not 25 more than five hundred dollars nor and not less than one

26 hundred dollars. <u>However</u>, <u>if a member of a governmental body</u> 27 knowingly participated in such a violation, damages shall be

6 28 in the amount of not more than two thousand five hundred
6 29 dollars and not less than one thousand dollars. These damages
6 30 shall be paid by the court imposing it to the state of Iowa, 6 31 if the body in question is a state governmental body, or to 6 32 the local government involved if the body in question is a 33 local governmental body. A member of a governmental body 34 found to have violated this chapter shall not be assessed such 35 damages if that member proves that the member did any of the 1 following:

Voted against the closed session.

Had good reason to believe and in good faith believed 4 facts which, if true, would have indicated compliance with all

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5 the requirements of this chapter.
           (3) Reasonably relied upon a decision of a court, or a
    7 formal opinion of the <u>Iowa public information board</u>, the
    8 attorney general, or the attorney for the governmental body, 9 given in writing, or as memorialized in the minutes of the
7 10 meeting at which a formal oral opinion was given, or an 7 11 advisory opinion of the Iowa public information board, the 7 12 attorney general, or the attorney for the governmental body,
7 13 given in writing.
7 14 Sec. 12. Section 21.6, subsection 3, paragraph d, Code
7 15 2007, is amended to read as follows:
7 16 d. Shall issue an order removing a member of a
7 17 governmental body from office if that member has engaged in a
7 18 prior violation of this chapter for which damages were 7 19 assessed against the member during the member's term.
  20 making this determination, the court shall recognize
      violations for which damages were assessed by the Iowa public information board created in section 23.3.

Sec. 13. NEW SECTION. 22.0A PURPOSE.
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7 24 The purpose of this chapter is to provide as much 7 25 transparency in government operations as possible consistent
7 26 with the need to avoid undue invasions of personal privacy and
7 27 the need to avoid significant interference with the
  28 achievement of other important and legitimate state
7 29 objectives.
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                        Section 22.1, Code 2007, is amended to read as
           Sec. 14.
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  31 follows:
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           22.1 DEFINITIONS.
7 33
                "Confidential record" means a government record
   34 designated by statute as unavailable for examination and
   35 copying by members of the public.

1 1. 2. The term "government "Government body" means this 2 state, or any county, city, township, school corporation, 3 political subdivision, tax=supported district, nonprofit
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    4 corporation other than a fair conducting a fair event as
    5 provided in chapter 174, whose facilities or indebtedness are
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    6 supported in whole or in part with property tax revenue and
    7 which is licensed to conduct pari=mutuel wagering pursuant to 8 chapter 99D, an entity eligible to exercise tax=exempt bonding
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   9 authority under chapter 7C, including a nonprofit tax=exempt
10 bonding authority under chapter 7C designated by the state to
11 serve as a secondary market for student loans and a nonprofit
8 12 tax=exempt bonding authority under chapter 7C whose board of
8 13 directors is appointed by the governor, or other entity of 8 14 this state, or any branch, department, board, bureau, 8 15 commission, council, committee, official, or officer of any of
8 16 the foregoing or any employee delegated the responsibility for
8 17 implementing the requirements of this chapter.
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          3. "Government record" means a record owned by, created
  19 by, in the possession of, or under the control of, any unit, 20 division, or part of state or local government or the 21 officials or employees of such public bodies in the course of
8 22 the performance of their respective duties.
8 23 2. 4. The term "lawful "Lawful custodian" means the 8 24 government body currently in physical possession of the public
8 25 government record. The custodian of a public government
8 26 record in the physical possession of persons outside a
8 27 government body is the government body owning that government 8 28 record. The government records relating to the investment of
  29 public funds are the property of the public body responsible
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   30 for the public funds. Each government body shall delegate to
   31 particular officials or employees of that government body the
  32 responsibility for implementing the requirements of this
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  33 chapter and shall publicly announce the particular officials
  34 or employees to whom responsibility for implementing the 35 requirements of this chapter has been delegated. "Lawfu."
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    1 custodian" does not mean an automated data processing unit of
    2 a public body if the data processing unit holds the government
    3 records solely as the agent of another public body, nor does
    4 it mean a unit which holds the government records of other
    5 public bodies solely for storage.
      3. As used in this chapter, "public records" includes all records, documents, tape, or other information, stored or
  8 preserved in any medium, of or belonging to this state or any
  9 county, city, township, school corporation, political
9 10 subdivision, nonprofit corporation other than a fair
9 11 conducting a fair event as provided in chapter 174, whose
  12 facilities or indebtedness are supported in whole or in part
   13 with property tax revenue and which is licensed to conduct
  14 pari-mutuel wagering pursuant to chapter 99D, or tax-supported
9 15 district in this state, or any branch, department, board,
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9 16 bureau, commission, council, or committee of any of the 17 foregoing.

9 18 "Public records" also includes all records relating to the 9 19 investment of public funds including but not limited to 9 20 investment policies, instructions, trading orders, or 9 21 contracts, whether in the custody of the public body 9 22 responsible for the public funds or a fiduciary or other third 9 23 party.

"Optional public record" means a government record 9 25 designated by statute as unavailable for examination and 9 26 copying by members of the public unless otherwise ordered by a 9 27 court, by the lawful custodian of the records, or by another 9 28 person duly authorized to release such information.

29 <u>6. "Public record" means a government record to which</u> 30 members of the public have an unqualified right to examine and 9 31 copy and includes a government record not designated by <u>32 statute as either a confidential record or an optional public</u>

9 33 record.
9 34 7. "Record" means information of every kind, nature, and form preserved or stored in any medium including but not

limited to paper, electronic media, or film media.

Sec. 15. Section 22.2, subsection 2, Code 2007, is amended

3 to read as follows:

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2. A government body shall not prevent the examination or 5 copying of a public record by contracting with a nongovernment 6 body to perform any of its duties or functions. A record 7 created by, in the possession of, or under the control of, any 8 nongovernment body or person, which is a direct part of the 9 execution or performance of duties imposed upon the 10 10 nongovernment body or person by contract with a government 10 11 body under which the nongovernment body or person performs a 10 12 function of the government body, is a government record. The 10 13 lawful custodian of such a government record is the government 14 body with whom the nongovernment body or person has executed

15 the contract. Sec. 16. <u>NEW SECTION</u>. 22.2A RECORD REQUESTS == TIME 10 17 LIMITS.

1. Upon receipt of an oral or written request to examine 10 19 or copy a public record, the lawful custodian shall, if 10 20 feasible in the ordinary course of business, permit such 10 21 examination or copying at the time of the request. If it is 10 22 not feasible in the ordinary course of business to permit 10 23 examination or copying of the public record at the time of the 10 24 request, the lawful custodian shall immediately notify the 10 25 requester, orally or in writing, when such examination or 10 26 copying may take place, which shall be no later than five 10 27 business days from the time of the request unless there is 10 28 good cause for further delay. If further delay is necessary 10 29 because of good cause in responding to a request to examine or 10 30 copy a record the lawful custodian knows is a public record, 10 31 the lawful custodian shall provide the requester with a 10 32 written statement detailing the reason or reasons for the 10 33 delay and the date by which the request will be satisfied.

If the lawful custodian is in doubt as to whether the 10 34 2. 10 35 record requested is a public record or whether the requester 1 should be permitted to examine or copy an optional public 2 record specified in section 22.7, the lawful custodian shall 3 make that determination within ten business days from the date 4 of the request unless further delay is necessary because of a 5 pending request by the lawful custodian to the Iowa public 6 information board for an opinion regarding the status of the 7 record requested, or other good cause, which is communicated 8 in writing to the requester. Examination or copying of the 9 government record shall be allowed within five business days 11 10 from the date the lawful custodian makes the decision in such 11 11 circumstances to permit examination or copying of the record 11 12 unless there is good cause for further delay in fulfilling the

11 13 request as provided in subsection 1.
11 14 3. If the lawful custodian denies a request to examine or 11 15 copy a public record, the custodian must provide the requester 11 16 at the time of the denial a written statement denying the 11 17 request and detailing the specific reason or reasons for the 11 18 denial.

4. If the lawful custodian does not fulfill a request to 11 20 examine or copy a public record within the times prescribed in 11 21 this section, the request shall be deemed denied and the 11 22 requester shall be entitled to file a complaint with the Iowa 11 23 public information board pursuant to section 23.7 or file a 11 24 lawsuit against the lawful custodian pursuant to section 11 25 22.10.

Sec. 17. Section 22.3, subsection 2, Code 2007, is amended

11 27 to read as follows: 2. All expenses of the examination and copying shall be 11 28 11 29 paid by the person desiring to examine or copy. The lawful 11 30 custodian may charge a reasonable fee for the services of the 11 31 lawful custodian or the custodian's authorized designee in 11 32 supervising the examination and copying of the records or in 33 reviewing the records for optional public record information 34 or for confidential record information prior to release. If 35 the lawful custodian is a state executive branch agency, the 11 lawful custodian shall provide such services at no charge to a 2 requester for up to three hours per month. If copy equipment
3 is available at the office of the lawful custodian of any 12 12 4 public records, the lawful custodian shall provide any person 12 a reasonable number of copies of any public record in the custody of the office upon the payment of a fee. The fee for 12 the copying service as determined by the lawful custodian 12 12 8 shall not exceed the actual cost of providing the service. 12 9 Actual costs shall include only those expenses directly 12 10 attributable to supervising the examination of and making and 12 11 providing copies of public records. Actual costs shall not 12 12 include charges for ordinary expenses or costs such as 12 13 employment benefits, depreciation, maintenance, electricity, 12 14 or insurance associated with the administration of the office 12 15 of the lawful custodian. 12 16 Sec. 18. Section 22. Section 22.4, Code 2007, is amended to read as 12 17 follows: 12 18 22.4 HOURS WHEN AVAILABLE. 12 19 The rights of persons under this chapter may be exercised 12 20 at any time during the customary office hours of the lawful 12 21 custodian of the government records. However, if the lawful 12 22 custodian does not have customary office hours of at least 12 23 thirty hours per week, such right may be exercised at any time 12 24 from nine o'clock a.m. to noon and from one o'clock p.m. to 12 25 four o'clock p.m. Monday through Friday, excluding legal 12 26 holidays, unless the person exercising such right and the 12 27 lawful custodian agree on a different time. 12 28 Sec. 19. Section 22.7, sub 12 29 is amended to read as follows: Sec. 19. Section 22.7, subsection 7, Code Supplement 2007, 12 30 7. Appraisals or appraisal information concerning the 12 31 purchase of real or personal property for public purposes, 12 32 prior to public announcement of a project the submission of 12 33 the appraisal to the property owner or other interest holders 12 34 as provided in section 6B.45.
12 35 Sec. 20. Section 22.7, subsection 10, Code Supplement 13 2007, is amended by striking the subsection. Sec. 21. Section 22.7, subsection 11, Code Supplement 13 3 2007, is amended to read as follows: 13 4 11. <u>a.</u> Personal information in confidential personnel 5 records of <u>public</u> government bodies <u>including but not limited</u> 13 13 6 to cities, boards of supervisors and school districts relating 7 to identified or identifiable individuals who are officials.

8 officers, or employees of the government bodies. However, the -13 13 13 9 following information relating to such individuals contained 13 10 in personnel records shall be public records: (1) The name and compensation of the individual including any written agreement establishing compensation or any other 13 13 terms of employment excluding any information otherwise 13 14 excludable from public information pursuant to this section or 13 15 any other applicable provision of law. For purposes of this 13 16 paragraph, "compensation" means payment of, or agreement to 17 pay, any money, thing of value, or financial benefit conferred 13 18 in return for labor or services rendered by an officer, 13 19 employee, or other person plus the value of benefits including 13 20 but not limited to casualty, disability, life, or health 21 insurance, other health or wellness benefits, vacation, 22 holiday, and sick leave, severance payments, retirement 13 23 benefits, and deferred compensation.
13 24 (2) The date the individual was employed by the government (3) 13 26 The positions the individual holds or has held with the government body. 13 28 (4) The educational institutions attended by the individual, including any diplomas and degrees earned, and the 13 30 names of the individual's previous employers, positions 13 31 13 32 previously held, and dates of previous employment.
(5) Any final disciplinary action taken against 33 individual that resulted in the individual's discharge.
34 b. Personal information in confidential personnel records 13 34 35 of government bodies relating to student employees shall only 1 be released pursuant to 20 U.S.C. } 1232g. Sec. 22. Section 22.7, subsection 18, Code Supplement

3 2007, is amended to read as follows: 14 18. <u>a.</u> Communications not required by law, rule, 14 5 procedure, or contract that are made to a government body or 14 6 to any of its employees by identified persons outside of 14 7 government, to the extent that the government body receiving 14 8 those communications from such persons outside of government 14 could reasonably believe that those persons would be discouraged from making them to that government body if they 14 10 14 11 were available for general public examination. As used in 14 12 this subsection, "persons outside of government" does not 14 13 include persons or employees of persons who are communicating 14 14 with respect to a consulting or contractual relationship with 14 15 a government body or who are communicating with a government 14 16 body with whom an arrangement for compensation exists. 14 17 Notwithstanding this provision: (1) The communication is a public record to the extent 14 18 a. 14 19 that the person outside of government making that 14 20 communication consents to its treatment as a public record. b. (2) Information contained in the communication is a 14 21 14 22 public record to the extent that it can be disclosed without 14 23 directly or indirectly indicating the identity of the person 14 24 outside of government making it or enabling others to 14 25 ascertain the identity of that person. c. (3) Information contained in the communication is a public record to the extent that it indicates the date, time, 14 26 14 27 14 28 specific location, and immediate facts and circumstances 14 29 surrounding the occurrence of a crime or other illegal act, 14 30 except to the extent that its disclosure would plainly and 14 31 seriously jeopardize a continuing investigation or pose a 14 32 clear and present danger to the safety of any person. In any 14 33 action challenging the failure of the lawful custodian to 14 34 disclose any particular information of the kind enumerated in 14 35 this paragraph, the burden of proof is on the lawful custodian 15 1 to demonstrate that the disclosure of that information would jeopardize such an investigation or would pose such a clear 15 15 3 and present danger. b. This subsection does not apply to information relating to applications to a government body for employment.

Sec. 23. Section 22.7, subsections 40, 43, and 48, Code 15 15 15 6 7 Supplement 2007, are amended to read as follows: 15 40. The portion of a record request that contains an 15 15 9 internet protocol number which identifies the computer from -15 10 which a person requests a record, whether the person using 15 11 such computer makes the request through the IowAccess network -15 12 or directly to a lawful custodian. However, such record may -15 13 be released with the express written consent of the person 15 14 requesting the record. 15 15 43. Information obtained by the commissioner of insurance 15 16 pursuant to section 502.607, subsection 2. 15 17 48. Sex offender registry records under chapter 692A-15 18 except shall only be released as provided in section 692A.13.
15 19 Sec. 24. Section 22.7, subsection 52, paragraphs a and c,
15 20 Code Supplement 2007, are amended to read as follows: 15 21 a. The following records relating to a charitable donation 15 22 made to a foundation acting solely for the support of an 15 23 institution governed by the state board of regents, to a 15 24 foundation acting solely for the support of an institution -15 25 governed by chapter 260C, to a private foundation as defined -15 26 in section 509 of the Internal Revenue Code organized for the 15 27 support of a government body, or to an endow Iowa qualified 15 28 community foundation, as defined in section 15E.303, organized 15 29 for the support of a government body: (1) Portions of records that disclose a donor's or 15 30 15 31 prospective donor's personal, financial, estate planning, or 15 32 gift planning matters. 15 33 (2) Records received from a donor or prospective donor 15 34 regarding such donor's prospective gift or pledge. (3) Records containing information about a donor or a prospective donor in regard to the appropriateness of the 15 35 16 16 solicitation and dollar amount of the gift or pledge. (4) Portions of records that identify a prospective donor 16 4 and that provide information on the appropriateness of the 5 solicitation, the form of the gift or dollar amount requested 16 16 6 by the solicitor, and the name of the solicitor. 16 7 (5) Portions of records disclosing the identity of a donor 8 or prospective donor, including the specific form of gift or 16 16 9 pledge that could identify a donor or prospective donor, 16 16 10 directly or indirectly, when such donor has requested 16 11 anonymity in connection with the gift or pledge. This 16 12 subparagraph does not apply to a gift or pledge from a

16 13 publicly held business corporation.

c. Except as provided in paragraphs "a" and "b", 16 15 of records relating to the receipt, holding, and disbursement 16 16 of gifts made for the benefit of regents institutions and made 16 17 through foundations established for support of regents 16 18 institutions, including but not limited to written 16 19 fund=raising policies and documents evidencing fund=raising 16 20 practices, shall be subject to this chapter. <u>Unless otherwise</u> provided, the lawful custodian of all records subject to this 16 22 paragraph is the regents institution to be benefited by such <u>16 23 gifts.</u> 16 24 Sec. 25. Section 22.7, subsection 55, Code Supplement 16 25 2007, is amended to read as follows: 16 26 55. An intelligence assessment and intelligence data under 16 27 chapter 692, except shall only be released as provided in 16 28 section 692.8A. 16 29 Sec. 26. Section 22.7, Code Supplement 2007, is amended by 16 30 adding the following new subsections: NEW SUBSECTION. 60. PUBLIC EMPLOYMENT APPLICATIONS. a. The identity and qualifications of an applicant for 16 31 16 32 16 33 employment by a government body if the applicant requests 16 34 anonymity in writing and the government body determines that 16 35 anonymity is necessary to induce the applicant to apply for 17 the employment position. Such information shall be exempt from disclosure until an applicant is considered by the government body to be a finalist for the position. For 17 17 17 4 purposes of this subsection, "finalist" means any applicant 17 5 who is determined to be among those who are under final 17 consideration for the position, and at least includes the five most qualified applicants as determined by the recommending or 17 17 selecting authority. If there are five or fewer applicants 17 9 for the particular position, all of the applicants shall be 17 10 considered finalists for purposes of this subsection. The 17 11 identities and qualifications of the finalists shall be made 17 12 available for public inspection at least three business days 17 13 prior to a final decision. 17 14 b. Documents relating to a government body's evaluation of 17 15 the qualifications and merits of an applicant for employment 17 16 by that government body. 17 16 by that government body.
17 17 NEW SUBSECTION. 62. TENTATIVE, PRELIMINARY, OR DRAFT
17 18 MATERIALS. Tentative, preliminary, draft, speculative, or
17 19 research material, created prior to its completion for the
17 20 purpose for which it is intended and in a form prior to the 17 21 form in which it is submitted for use or used in the actual 17 22 formulation, recommendation, adoption, or execution of any 17 23 official policy or action by a public official authorized to 17 24 make such decisions for the government body. Such materials 17 25 shall be treated as a public record at the time the materials 17 26 are actually used for the final formulation, recommendation, 17 27 adoption, or execution of any official policy or action of a 17 28 government body. NEW SUBSECTION. 17 29 63. CLOSED SESSION RECORDS. Information in records that would permit a governmental body subject to 17 30 chapter 21 to hold a closed session pursuant to section 21.5 17 31 17 32 in order to avoid public disclosure of that information. 17 33 Sec. 27. Section 22.8, subsection 1, Code 2007, is amended to read as follows: 17 34 1. The district court may grant an injunction restraining 17 35 the examination, including copying, of a specific public record or a narrowly drawn class of public records. A hearing 18 18 18 3 shall be held on a request for injunction upon reasonable 4 notice as determined by the court to persons requesting access 5 to the record which is the subject of the request for 18 18 6 injunction. It shall be the duty of the lawful custodian and 18 18 any other person seeking an injunction to ensure compliance 18 8 with the notice requirement. Such an injunction may be issued 9 only if the petition supported by affidavit shows and if the 18 18 10 court finds both any of the following: 18 11 That the examination would clearly not be in the public a. 18 interest because the potential harm to the public interest from disclosure of the particular information involved clearly 18 14 outweighs any potential benefit to the public interest from disclosure.
b. That the examination would substantially and 18 16 18 17 irreparably injure any person or persons because it would 18 18 invade the personal privacy of the identified subject of the 18 19 record and the harm to that person from such disclosure is not 18 20 outweighed by the public interest in its disclosure. That the record at issue is not a public record.

That the record at issue is a record exempt from 18 21

23 mandatory disclosure pursuant to section 22.7 and that a 18 24 determination by the custodian to permit inspection of the

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record by one or more members of the public is a violation of 18 26 law or is arbitrary, capricious, unreasonable, or an abuse of 18 27 discretion. 18 28 Sec. 28. 18 28 Sec. 28. Section 22.8, subsection 4, paragraphs c and d, 18 29 Code 2007, are amended to read as follows: c. To determine whether the government record in question 18 31 is a public record, an optional public record, or a 18 32 confidential record. d. To determine whether a confidential an optional public 18 34 record should be available for inspection and copying to the 18 35 person requesting the right to do so. A reasonable delay for 19 1 this purpose shall not exceed twenty calendar days and $\frac{-19}{}$ 2 ordinarily should not exceed ten business days. 19 Sec. 29. Section 22.10, subsection 3, paragraph b, Code 19 4 2007, is amended to read as follows: 19 Shall assess the persons who participated in its 6 violation damages in the amount of not more than five hundred 7 dollars nor and not less than one hundred dollars. However, 19 19 8 if a member of a governmental body knowingly participated in 9 such a violation, damages shall be in the amount of not more 19 10 than two thousand five hundred dollars and not less than one 11 thousand dollars. These damages shall be paid by the court 19 <u>less than one</u> 11 thousand dollars. 19 12 imposing them to the state of Iowa if the body in question is 19 13 a state government body, or to the local government involved 19 14 if the body in question is a local government body. A person 19 15 found to have violated this chapter shall not be assessed such 19 16 damages if that person proves that the person either voted did any of the following:
 (1) Voted against the action violating this chapter, 19 18 19 19 refused to participate in the action violating this chapter, 19 20 or engaged in reasonable efforts under the circumstances to 19 21 resist or prevent the action in violation of this chapter+ 19 22 had. (2) 19 23 <u>Had</u> good reason to believe and in good faith believed 19 24 facts which, if true, would have indicated compliance with the 19 25 requirements of this chapter; or reasonably 19 26 (3) Reasonably relied upon a decision of a court, or an a formal opinion of the <u>Iowa public information board</u>, 27 19 28 attorney general, or the attorney for the government body, 19 29 given in writing, or as memorialized in the minutes of the 30 meeting at which a formal oral opinion was given, or an 31 advisory opinion of the Iowa public information board, the 19 32 attorney general, or the attorney for the governmental body, _19 33 given in writing.
34 Sec. 30. Section 22.10, subsection 3, paragraph d, Code 19 34 19 35 2007, is amended to read as follows: 20 d. Shall issue an order removing a person from office if 20 that person has engaged in a prior violation of this chapter 2.0 3 for which damages were assessed against the person during the 20 4 person's term. In making this determination, the court shall recognize violations for which damages were assessed by the 20 Iowa public information board created in section 23.3.
Sec. 31. Section 22.10, subsection 5, Code 2007, is 20 20 20 8 amended by striking the subsection. 20 Sec. 32. Section 22.13, Code 2007, is amended to read as 20 10 follows: 20 11 22.13 SETTLEMENTS == GOVERNMENTAL GOVERNMENT BODIES. 20 12 <u>1.</u> A written summary of the terms of settlement, including 20 13 amounts of payments made to or through a claimant, or other 20 14 disposition of any claim for damages made against a 20 15 governmental government body or against an employee, officer, 20 16 or agent of a governmental government body, by an insurer 20 17 pursuant to a contract of liability insurance issued to the 20 18 governmental government body, shall be filed with the 20 19 governmental government body and shall be a public record. 20 20 2. A final binding settlement agreement between any 21 government body of this state or unit or official of such a 22 government body that resolves a legal dispute between such a 23 government body and another person or entity shall be filed 20 20 20 24 with the government body. For each such settlement agreement 20 25 the government body shall prepare and file, together with the 26 settlement agreement, a brief summary indicating the identity 27 of the parties involved, the nature of the dispute, any 20 20 28 underlying relevant facts, and the terms of the settlement.
20 29 The settlement agreement and summary shall be available for
20 30 public inspection. 20 31 Sec. 33. Section 22.14, subsection 3, Code 2007, is 20 32 amended to read as follows: 3. If a fiduciary or other third party with custody of 20 33 20 34 public investment transactions records fails to produce public 20 35 records within a reasonable period of time as requested by the

1 <u>public government</u> body, the <u>public government</u> body shall make 2 no new investments with or through the fiduciary or other 21 21 3 third party and shall not renew existing investments upon 4 their maturity with or through the fiduciary or other third 5 party. The fiduciary or other third party shall be liable for 21 2.1 6 the penalties imposed under section 22.6 statute, common law, 21 <u>21</u> 21 or contract due to the acts or omissions of the fiduciary or 8 other third party and any other remedies available under statute, common law, or contract. -21Sec. 34. <u>NEW SECTION</u>. 22.15 21 10 JUDICIAL BRANCH == RULES. 21 11 This chapter does not apply to government records owned, 21 12 created, possessed, or under the control of the judicial 21 13 branch related to the performance by the courts of their 21 14 judicial functions. The supreme court shall prescribe rules 21 15 governing access to such records consistent with the purposes 21 16 of this chapter. 21 17 NEW SECTION. Sec. 35. 23.1 CITATION AND PURPOSE. This chapter may be cited as the "Iowa Public Information 21 18 21 19 Board Act". The purpose of this chapter is to provide an 21 20 alternative means by which to secure compliance with and 21 enforcement of the requirements of chapters 21 and 22 through 21 22 the provision by the Iowa public information board to all 21 23 interested parties of an efficient, informal, and 21 24 cost=effective process for resolving disputes.
21 25 Sec. 36. NEW SECTION. 23.2 DEFINITIONS.
21 26 1. "Board" means the Iowa public information board created 21 27 in section 23.3. 21 28 2. "Complainant" means a person who files a complaint with 21 29 the board. 3. "Complaint" means a written and signed document filed 21 30 21 31 with the board alleging a violation of chapter 21 or 22. 21 32 4. "Custodian" means a government body, government 21 33 official, or government employee designated as the lawful 34 custodian of a government record pursuant to section 22.1. 21 21 35 "Government body" means the same as defined in section 22 "Person" means an individual, partnership, association, 22 6. corporation, legal representative, trustee, receiver, custodian, government body, or official, employee, agency, or 22 22 22 5 political subdivision of this state. 22 "Respondent" means any agency or other unit of state or 6 22 local government, custodian, government official, or government employee who is the subject of a complaint. Sec. 37. NEW SECTION. 23.3 BOARD APPOINTED. 22 22 22 10 1. An Iowa public information board is created consisting 22 11 of five members appointed by the governor, subject to 22 12 confirmation by the senate. Membership shall be balanced as 22 13 to political affiliation as provided in section 69.16 and 22 14 gender as provided in section 69.16A. Members appointed to 22 15 the board shall serve staggered, four=year terms, beginning 22 16 and ending as provided by section 69.19. A quorum shall 22 17 consist of three members. 22 18 2. A vacancy on the board shall be filled by the governor 22 19 by appointment for the unexpired part of the term. A board 22 20 member may be removed from office by the governor for good 22 21 cause. The board shall select one of its members to serve as 22 22 chair and shall employ a director who shall serve as the 22 23 executive officer of the board. 22 24 Sec. 38. <u>NEW SECTION</u>. 23.4 COMPENSATION AND EXPENSES. 22 25 Board members shall be paid a per diem as specified in 22 26 section 7E.6 and shall be reimbursed for actual and necessary 22 27 expenses incurred while on official board business. Per diem 22 28 and expenses shall be paid from funds appropriated to the

22 29 board.

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Sec. 39. <u>NEW SECTION</u>. 23.5 ELECTION OF REMEDIES. 1. An aggrieved person, any taxpayer to or citizen of this 22 32 state, the attorney general, or any county attorney may seek enforcement of the requirements of chapters 21 and 22 by electing either to file an action pursuant to section 17A.19, 22 33 22 35 21.6, or 22.10, whichever is applicable, or in the alternative, to file a timely complaint with the board.

If more than one person seeks enforcement of chapter 21 or 22 with respect to the same incident involving an alleged violation, and one or more of such persons elects to do so by filing an action under section 17A.19, 21.6, or 22.10 and one or more of such persons elects to do so by filing a timely complaint with the board, the court in which the action was filed shall dismiss the action without prejudice, authorizing the complainant to file a complaint with respect to the same 8 23 10 incident with the board without regard to the timeliness of 23 11 the filing of the complaint at the time the action in court is 23 12 dismissed.

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3. If a person files an action pursuant to section 22.8 23 14 seeking to enjoin the inspection of a public record, the 23 15 respondent or person requesting access to the record which is 23 16 the subject of the request for injunction may remove the 23 17 proceeding to the board for its determination by filing, 23 18 within thirty days of the commencement of the judicial 23 19 proceeding, a complaint with the board alleging a violation of 23 20 chapter 22 in regard to the same matter.
23 21 Sec. 40. <u>NEW SECTION</u>. 23.6 BOARD POWERS AND DUTIES.

The board shall have all of the following powers and 23 23 duties:

- 1. Employ such employees as are necessary to execute its 23 25 authority, including administrative law judges, and attorneys 23 26 to prosecute respondents in proceedings before the board and 23 27 to represent the board in proceedings before a court. 23 28 Notwithstanding section 8A.412, all of the board's employees, 23 29 except for the executive director and attorneys, shall be 23 30 employed subject to the merit system provisions of chapter 8A, 23 31 subchapter IV.
- Adopt rules with the force of law pursuant to chapter 2. 23 33 17A calculated to implement, enforce, and interpret the 23 34 requirements of chapters 21 and 22 and to implement any 23 35 authority delegated to the board by this chapter.
 - Issue, consistent with the requirements of section 17A.9, declaratory orders with the force of law determining the applicability of chapter 21 or 22 to specified fact situations and issue informal advice to any person concerning the applicability of chapters 21 and 22.
- Receive complaints alleging violations of chapter 21 or 22, seek resolution of such complaints through informal 8 assistance or through mediation and settlement, formally 9 investigate such complaints, decide after such an 24 10 investigation whether there is probable cause to believe a 24 11 violation of chapter 21 or 22 has occurred, and if probable 24 12 cause has been found prosecute the respondent before the board 24 13 in a contested case proceeding conducted according to the 24 14 provisions of chapter 17A.
- Request and receive from a government body assistance 24 16 and information as necessary in the performance of its duties. The board may examine a record of a government body that is the subject matter of a complaint, including any record that 24 18 24 19 is confidential by law. Confidential records provided to the 24 20 board by a governmental body shall continue to maintain their 24 21 confidential status. Any member or employee of the board is 24 22 subject to the same policies and penalties regarding the 24 23 confidentiality of the document as an employee of the 24 24 government body.
- 6. Issue subpoenas enforceable in court for the purpose of 24 26 investigating complaints and to facilitate the prosecution and 24 27 conduct of contested cases before the board.
- 7. After appropriate board proceedings, issue orders with 24 29 the force of law, determining whether there has been a 24 30 violation of chapter 21 or 22, requiring compliance with 24 31 specified provisions of those chapters, imposing civil 24 32 penalties equivalent to and to the same extent as those 24 33 provided for in section 21.6 or 22.10, as applicable, on a 24 34 respondent who has been found in violation of chapter 21 or 24 35 22, and imposing any other appropriate remedies calculated to declare, terminate, or remediate any violation of those 2 chapters.
 - 8. Represent itself in judicial proceedings to enforce or 4 defend its orders and rules through attorneys on its own staff, through the office of the attorney general, or through
- other attorneys retained by the board, at its option.

 9. Make training opportunities available to lawful 8 custodians, government bodies, and other persons subject to the requirements of chapters 21 and 22 and require, in its 25 10 discretion, appropriate persons who have responsibilities in 25 11 relation to chapters 21 and 22 to receive periodic training 25 12 approved by the board.
- 25 13 10. Disseminate information calculated to inform members 25 14 of the public about the public's right to access government 25 15 information in this state including procedures to facilitate 25 16 this access and including information relating to the 25 17 obligations of government bodies under chapter 21 and lawful 25 18 custodians under chapter 22 and other laws dealing with this 25 19 subject.
- 25 20 11. Prepare and transmit to the governor and to the 25 21 general assembly, at least annually, reports describing 25 22 complaints received, board proceedings, investigations,

25 23 hearings conducted, decisions rendered, and other work 25 24 performed by the board.

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12. Make recommendations to the general assembly proposing 25 25 25 26 legislation relating to public access to government 25 27 information deemed desirable by the board in light of the 25 28 policy of this state to provide as much public access as 25 29 possible to government information as is consistent with the 25 30 public interest and the need to protect individuals against 25 31 undue invasions of personal privacy. 25 32

Sec. 41. <u>NEW SECTION</u>. 23.7 FILING OF COMPLAINTS WITH THE 25 33 BOARD.

1. The board shall adopt rules with the force of law and 25 35 pursuant to chapter 17A providing for the timing, form, content, and means by which any aggrieved person, any taxpayer to or citizen of this state, the attorney general, or any county attorney may file a complaint with the board alleging a violation of chapter 21 or 22. The complaint must be filed within sixty days from the time the alleged violation occurred or the complainant could have become aware of the violation with reasonable diligence.

2. All board proceedings in response to the filing of a complaint shall be conducted as expeditiously as possible.

3. The board shall not charge a complainant any fee in 26 11 relation to the filing of a complaint, the processing of a 26 12 complaint, or any board proceeding or judicial proceeding 26 13 resulting from the filing of a complaint.

Sec. 42. <u>NEW SECTION</u>. 23.8 INITIAL PROCESSING OF 26 15 COMPLAINT.

Upon receipt of a complaint alleging a violation of chapter 21 or 22, the board shall do either of the following:

1. Determine that, on its face, the complaint is within 26 19 the board's jurisdiction, appears legally sufficient, and 26 20 could have merit. In such a case the board shall accept the 26 21 complaint, and shall notify the parties of that fact in writing.

26 23 2. Determine that, on its face, the complaint is outside 26 24 its jurisdiction, is legally insufficient, is frivolous, is 26 25 without merit, involves harmless error, or relates to a 26 26 specific incident that has previously been finally disposed of 26 27 on its merits by the board or a court. In such a case the If the board 26 28 board shall decline to accept the complaint. 26 29 refuses to accept a complaint, the board shall provide the 26 30 complainant with a written order explaining its reasons for 26 31 the action.

Sec. 43. NEW SECTION. 23.9 INFORMAL ASSISTANCE == 26 33 MEDIATION AND SETTLEMENT.

- 1. After accepting a complaint, the board shall promptly 26 35 work with the parties through its employees to reach an 27 1 informal, expeditious resolution of the complaint. If an 2 informal resolution satisfactory to the parties cannot be 3 reached, the board or the board's designee shall offer the parties an opportunity to resolve the dispute through 5 mediation and settlement.
 - 2. The mediation and settlement process shall enable the complainant to attempt to resolve the dispute with the aid of 8 a neutral mediator employed and selected by the board, in its 9 discretion, from either its own staff or an outside source.
- 27 10 3. Mediation shall be conducted as an informal, 27 11 nonadversarial process and in a manner calculated to help the 27 12 parties reach a mutually acceptable and voluntary settlement 27 13 agreement. The mediator shall assist the parties in 27 14 identifying issues and shall foster joint problem solving and 27 15 the exploration of settlement alternatives.

Sec. 44. NEW SECTION. 23.10 ENFORCEMENT.

- If any party declines mediation or settlement or if 27 18 mediation or settlement fails to resolve the matter to the 27 19 satisfaction of all parties, the board shall initiate a formal 27 20 investigation concerning the facts and circumstances set forth in the complaint. The board shall, after an appropriate 27 21 27 22 investigation, make a determination as to whether the 27 23 complaint is within the board's jurisdiction and whether there 27 24 is probable cause to believe that the facts and circumstances 27 25 alleged in the complaint constitute a violation of chapter 21 27 26 or 22.
- 2.7 If the board finds the complaint is outside the board's jurisdiction or there is no probable cause to believe there 28 27 29 has been a violation of chapter 21 or 22, the board shall 27 30 issue a written order explaining the reasons for the board's 27 31 conclusions and dismissing the complaint, and shall transmit a 27 32 copy to the complainant and to the party against whom the 27 33 complaint was filed.

If the board finds the complaint is within the 27 35 board's jurisdiction and there is probable cause to believe 1 there has been a violation of chapter 21 or 22, the board 28 2 shall issue a written order to that effect and shall commence 3 a contested case proceeding under chapter 17A against the 28 4 respondent. An attorney selected by the director of the board 28 5 shall prosecute the respondent in the contested case 6 proceeding. At the termination of the contested case 28 28 28 proceeding the board shall, by a majority vote of its members, 28 8 render a final decision as to the merits of the complaint. 28 the board finds that the complaint has merit, the board may 28 10 issue any appropriate order to ensure enforcement of chapter 28 11 21 or 22 including but not limited to an order requiring specified action or prohibiting specified action and any appropriate order to remedy any failure of the respondent to 28 12 28 13 28 14 observe any provision of those chapters. 28 15 b. If the board determines, by a majority vote of its 28 16 members, that the respondent has violated chapter 21 or 22, the board may also do any or all of the following: 28 17 (1) Require the respondent to pay damages as provided for 28 18 28 19 in section 21.6 or 22.10, whichever is applicable, to the 28 20 extent that provision would make such damages payable if the 28 21 complainant had sought to enforce a violation in court instead 28 22 of through the board. 28 23 Void any action taken in violation of chapter 21 if a 28 24 court would be authorized to do so in similar circumstances 28 25 pursuant to section 21.6. 28 26 The board shall not have the authority to remove a person from public office for a violation of chapter 21 or 22. 28 27 28 28 The board may file an action under chapter 21 or 22 to remove 28 29 a person from office for violations that would subject a 28 30 person to removal under those chapters. 28 31 d. A final board order resulting from such proceedings may 28 32 be enforced by the board in court and is subject to judicial review pursuant to section 17A.19. 28 33 Sec. 45. <u>NEW SECTION</u>. 23.11 DEFENSES IN A CONTESTED CASE 28 34 28 35 PROCEEDING. A respondent may defend against a proceeding before the 29 29 board charging a violation of chapter 21 or 22 on the ground 29 3 that if such a violation occurred it was only harmless error 29 4 or that clear and convincing evidence demonstrated that 29 grounds existed to justify a court to issue an injunction 6 against disclosure pursuant to section 22.8.
7 Sec. 46. NEW SECTION. 23.12 JURISDICTION.
8 The board shall not have jurisdiction over the judicial or 29 29 29 9 legislative branches of state government or any entity, 29 29 10 officer, or employee of those branches, or over the governor 29 11 or the office of the governor. 29 12 Sec. 47. Section 34A.7A, subsection 4, Code Supplement 2007, is amended to read as follows:
4. The amount collected from a wireless service provider 29 13 29 14 29 15 and deposited in the fund, pursuant to section 22.7 29 16 subsection 6, information provided by a wireless service 29 17 provider to the program manager consisting of trade secrets, 29 18 pursuant to section 22.7, subsection 3, and other financial or 29 19 commercial operations information provided by a wireless 29 20 service provider to the program manager, shall be kept 29 21 confidential an optional public record as provided under 29 22 section 22.7. This subsection does not prohibit the inclusion 29 23 of information in any report providing aggregate amounts and 29 24 information which does not identify numbers of accounts or 29 25 customers, revenues, or expenses attributable to an individual 29 26 wireless communications service provider. 29 27 Sec. 48. Section 68B.32B, subsection 11, Code Supplement 2007, is amended to read as follows:
11. A complaint shall be a public record, but some or all 29 28 29 29 29 30 of the contents may be treated as confidential <u>an optional</u>
29 31 <u>public record</u> under section 22.7, subsection 18, to the extent
29 32 necessary under subsection 3 of this section. Information 29 33 informally reported to the board and board staff which results 29 34 in a board=initiated investigation shall be a public record 29 35 but may be treated as confidential information an optional 30 1 public record consistent with the provisions of section 22.7, 30 2 subsection 18. If the complainant, the person who provides 30 3 information to the board, or the person who is the subject of 4 an investigation publicly discloses the existence of an 30

investigation, the board may publicly confirm the existence of the disclosed formal complaint or investigation and, in the

7 board's discretion, make the complaint or the informal 8 referral public, as well as any other documents that were 9 issued by the board to any party to the investigation.

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30 10 However, investigative materials may be furnished to the 30 11 appropriate law enforcement authorities by the board at any 30 12 time. Upon the commencement of a contested case proceeding by 30 13 the board, all investigative material relating to that 30 14 proceeding shall be made available to the subject of the 30 15 proceeding. The entire record of any contested case 30 16 proceeding initiated under this section shall be a public 30 17 record. 30 18 Sec. 49. Section 76.11, Code 2007, is amended to read as 30 19 follows: 30 20

CONFIDENTIALITY OF BOND HOLDERS == EXCEPTIONS. 76.11 Records of identity of owners of public bonds or 30 22 obligations maintained as provided in section 76.10 or by the 30 23 issuer of the bonds are confidential <u>optional public</u> records 30 24 entitled to protection under section 22.7, subsection 17- $\overline{30}$ 25 However, and the issuer of the bonds or a state or federal

30 26 agency may obtain information as necessary.

30 27 Sec. 50. Section 124.553, subsection 3, Code Supplement 30 28 2007, is amended to read as follows:

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30 29 3. Information contained in the program and any 30 30 information obtained from it, and information contained in the 30 31 records of requests for information from the program, is 30 32 privileged and strictly confidential information. Such 30 33 information is a confidential an optional public record 30 34 pursuant to section 22.7, and is not subject to discovery, 30 35 subpoena, or other means of legal compulsion for release 1 except as provided in this division. Information from the program shall not be released, shared with an agency or 3 institution, or made public except as provided in this 4 division.

Sec. 51. Section 135.43, subsection 7, paragraphs a and b, Code Supplement 2007, are amended to read as follows:

6 a. The Iowa department of public health and the department 8 of human services shall adopt rules providing for disclosure optional public record information which is confidential 31 10 under chapter 22 or any confidential record information under <u>any</u> other provision of state law, to the review team for 31 12 purposes of performing its child death and child abuse review 31 13 responsibilities.

b. A person in possession or control of medical, 31 15 investigative, assessment, or other information pertaining to 31 16 a child death and child abuse review shall allow the 31 17 inspection and reproduction of the information by the 31 18 department upon the request of the department, to be used only 31 19 in the administration and for the duties of the Iowa child 31 20 death review team. Except as provided for a report on a child 31 21 fatality by an ad hoc child fatality review committee under 31 22 subsection 4, information and records produced under this 31 23 section which are confidential optional public records under 31 24 section 22.7 and confidential records under chapter 235A, and 31 25 information or records received from the confidential records, 31 26 remain confidential under this section. A person does not 31 27 incur legal liability by reason of releasing information to 31 28 the department as required under and in compliance with this 31 29 section.

Section 147A.26, subsection 2, Code 2007, is Sec. 52. 31 31 amended to read as follows:

2. The data collected by and furnished to the department 33 pursuant to this section are confidential optional public 31 34 records of the condition, diagnosis, care, or treatment of 31 35 patients or former patients, including outpatients, pursuant to section 22.7. The compilations prepared for release or 2 dissemination from the data collected are not confidential 3 under section 22.7, subsection 2. However, information which 4 individually identifies patients shall not be disclosed and 5 state and federal law regarding patient confidentiality shall 6 apply.

Sec. 53. Section 202A.2, subsection 3, paragraph b, Code 2007, is amended to read as follows:

b. The department, in consultation with the office of

32 10 attorney general, shall designate information in purchase 32 11 reports that reveals the identity of a packer or livestock 32 12 seller as confidential optional public records pursuant to 32 13 section 22.7.

32 14 Sec. 54. Section 232.14 32 15 amended to read as follows: Section 232.149, subsection 2, Code 2007, is

32 16 2. Records and files of a criminal or juvenile justice 32 17 agency concerning a child involved in a delinquent act are 32 18 public records, except that release of criminal history data, 32 19 intelligence data, and law enforcement investigatory files is 32 20 subject to the provisions of section 22.7 and chapter 692, and

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32 21 juvenile court social records, as defined in section 232.2, 32 22 subsection 31, shall be deemed confidential optional public
<u>32 23 record</u> criminal identification files under section 22.7,
 32 24 subsection 9. The records are subject to sealing under 32 25 section 232.150 unless the juvenile court waives its 32 26 jurisdiction over the child so that the child may be
 32 27 prosecuted as an adult for a public offense.
32 28 Sec. 55. Section 252B.24, subsection 3, Code 2007, is
 32 29 amended to read as follows:
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            3. The records of the state case registry are confidential
        optional public records pursuant to chapter 22 and may only be
 32 32 disclosed or used as provided in section 252B.9.
32 33 Sec. 56. Section 252G.5, unnumbered paragraph 1, Code 32 34 2007, is amended to read as follows:
32 35 The records of the centralized employee registry are
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        confidential records pursuant to sections 22.7 and section
      2 252B.9, and may be accessed only by state agencies as provided 3 in this section and section 252B.9. When a state agency
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     4 accesses information in the registry, the agency may use the
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     5 information to update the agency's own records. Access to and
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        use of the information contained in the registry shall be
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        limited to the following:
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            Sec. 57. Section 321.189A, subsection 6, Code 2007, is
        amended to read as follows:
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            6. The department shall keep as confidential public
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        records under section 22.7, all records regarding licenses
 33 12 issued under this section as optional public records under
        section 22.7.
            Sec. 58. Section 452A.33, subsection 1, paragraph d, Code
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33 15 2007, is amended to read as follows:
33 16 d. The information included in a report submitted by a
33 17 retail dealer is deemed to be a trade secret, protected as a
33 18 confidential record and is an optional public record pursuant
 33 19 to section 22.7.
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            Sec. 59. Section 452A.33, subsection 2, paragraph c, Code
33 21 2007, is amended to read as follows:
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            C.
                The report shall not provide information regarding
 33 23 motor fuel or biofuel which is sold and dispensed by an
 33 24 individual retail dealer or at a particular retail motor fuel
 33 25 site. The report shall not include a trade secret protected
-33 26 as a confidential record pursuant as referred to in section
 33 27 22.7.
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           Sec. 60. Section 455K.4, subsection 4, Code 2007, is
 33 29 amended to read as follows:
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            4. Information that is disclosed under subsection 2,
33 31 paragraph "b", is confidential and is not subject to
 33 32 disclosure under chapter 22. A governmental entity,
33 33 governmental employee, or governmental official who discloses 33 34 information in violation of this subsection is subject to the
33 35 penalty provided in section 22.6.
        Sec. 61. Section 476.74, subsection 4, Code 2007, is amended to read as follows:
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            4. VERIFIED COPIES REQUIRED. Every public utility shall
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     4 file with the board a verified copy of the contract or
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     5 arrangement referred to in this section, or a verified summary
     6 of the unwritten contract or arrangement, and also of all the
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      7 contracts and arrangements or a verified summary of the
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     8 unwritten contracts or arrangements, whether written or 9 unwritten, entered into prior to July 1, 1989, and in force
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 34 10 and effect at that time. Any contract or agreement determined
 34 11 by the board to be a confidential an optional public record 34 12 pursuant to section 22.7 shall be returned to the public
 34 13 utility filing the confidential record within sixty days after
 34 14 the contract or agreement is filed.
        Sec. 62. Section 477A.7, subsection 3, paragraph b, Code Supplement 2007, is amended to read as follows:
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            b. For purposes of this subsection, the number of
 34 18 customers of a cable service provider or video service 34 19 provider shall be determined based on the relative number of
 34 20 subscribers in that municipality at the end of the prior
 34 21 calendar year as reported to the municipality by all incumbent
 34 22 cable providers and holders of a certificate of franchise
 34 23 authority. Any records showing the number of subscribers
 34 24 shall be considered confidential optional public records
 34 25 pursuant to section 22.7. The incumbent cable provider shall 34 26 provide to the municipality, on an annual basis, the
 34 27 maintenance and support costs of the institutional network,
 34 28 subject to an independent audit. A municipality acting under 34 29 this subsection shall notify and present a bill to competitive
 34 30 cable service providers or competitive video service providers
 34 31 for the amount of such support on an annual basis, beginning
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34 32 one year after issuance of the certificate of franchise 34 33 authority. The annual institutional network support shall be 34 34 due and paid by the providers to the municipality in four 34 35 quarterly payments, not later than forty=five days after the 35 1 close of each quarter. The municipality shall reimburse the 35 35 2 incumbent cable provider for the amounts received from 3 competitive cable service providers or competitive video 35 35 service providers

Sec. 63. Section 502.607, subsection 2, Code 2007, is amended to read as follows:

NONPUBLIC RECORDS OPTIONAL PUBLIC RECORDS. 8 Notwithstanding chapter 22, the following records are not

9 optional public records and are not available for public 35 10 examination under subsection 1:

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- a. A record obtained by the administrator in connection 35 12 with an audit or inspection under section 502.411, subsection 35 13 4, or an investigation under section 502.602.
- A part of a record filed in connection with a 35 15 registration statement under sections 502.301 and 502.303 35 16 through 502.305 or a record under section 502.411, subsection $35\ 17\ 4$, that contains trade secrets or confidential information if $35\ 18$ the person filing the registration statement or report has 35 19 asserted a claim of confidentiality or privilege that is 35 20 authorized by law. 35 21 c. A record th
- c. A record that is not required to be provided to the 35 22 administrator or filed under this chapter and is provided to 35 23 the administrator only on the condition that the record will 35 24 not be subject to public examination or disclosure.

d. A nonpublic record received from a person specified in

section 502.608, subsection 1.

- e. Any social security number, residential address unless 35 28 used as a business address, and residential telephone number 35 29 unless used as a business telephone number, contained in a 35 30 record that is filed.
- f. A record obtained by the administrator through a 35 32 designee that the administrator determines by rule or order 35 33 has been appropriately expunged from its own records by that 35 34 designee, if the administrator finds that such expungement is 35 35 in the public interest and does not impair investor protection.
 - Sec. 64. Section 507.14, subsections 1 through 3, 5, and Code Supplement 2007, are amended to read as follows:
- 1. A preliminary report of an examination of a domestic or 5 foreign insurer, and all notes, work papers, or other documents related to an examination of an insurer are confidential optional public records under chapter 22 except 8 when sought by the insurer to whom they relate, an insurance 36 9 regulator of another state, or the national association of 36 10 insurance commissioners, and shall be privileged and 36 11 confidential in any judicial or administrative proceeding 36 12 except any of the following: 36 13 a. An action commenced by
- a. An action commenced by the commissioner under chapter 36 14 507C.
- b. An administrative proceeding brought by the insurance 36 16 division under chapter 17A.
- A judicial review proceeding under chapter 17A brought c. 36 18 by an insurer to whom the records relate.
- 36 19 d. An action or proceeding which arises out of the 36 20 criminal provisions of the laws of this state or the United 36 21 States.
- e. An action brought in a shareholders' derivative suit 36 23 against an insurer.
- 36 24 An action brought to recover moneys or to recover upon 36 25 an indemnity bond for embezzlement, misappropriation, or 36 26 misuse of insurer funds.
- 2. A report of an examination of a domestic or foreign 36 28 insurer which is preliminary under the rules of the division 36 29 is a confidential an optional public record under chapter 22 36 30 except when sought by the insurer to which the report relates or an insurance regulator of another state, and is privileged 36 32 and confidential in any judicial or administrative proceeding. 36 33 3. All work papers, notes, recorded information,
- 36 34 documents, market conduct annual statements, and copies 36 35 thereof that are produced or obtained by or disclosed to the commissioner or any other person in the course of analysis by the commissioner of the financial condition or market conduct of an insurer are confidential optional public records under chapter 22 and shall be privileged and confidential in any judicial or administrative proceeding except any of the 6 following:
 - a. An action commenced by the commissioner under chapter

8 507C. 37 9 b. An administrative proceeding brought by the insurance 37 10 division under chapter 17A. c. A judicial review proceeding under chapter 17A brought

37 12 by an insurer to whom the records relate.

37 13 d. An action or proceeding which arises out of the 37 14 criminal provisions of the laws of this state or the United 37 15 States.

37 16 A financial statement filed by an employer 37 17 self=insuring workers' compensation liability pursuant to 37 18 section 87.11, or the working papers of an examiner or the 37 19 division in connection with calculating appropriate security 37 20 and reserves for the self=insured employer are confidential 37 21 optional public records under chapter 22 except when sought by 37 22 the employer to which the financial statement or working 37 23 papers relate or an insurance or workers' compensation 37 24 self=insurance regulator of another state, and are privileged 37 25 and confidential in any judicial or administrative proceeding. 37 26 The financial information of a nonpublicly traded employer 37 27 which self=insures for workers' compensation liability 37 28 pursuant to section 87.11 is protected as proprietary trade 37 29 secrets to the extent consistent with the commissioner's 37 30 duties to oversee the security of self=insured workers'

37 31 compensation liability.
37 32 6. Analysis notes, work papers, or other documents related
37 33 to the analysis of an insurer are confidential optional public 37 34 records under chapter 22.

Sec. 65. Section 507A.4, subsection 10, paragraph b, Code

Supplement 2007, is amended to read as follows:

b. The sponsor of the health benefit plan shall file an application for waiver from the provisions of this chapter with the commissioner as prescribed by the commissioner and 5 shall file periodic statements and information as required by the commissioner. The commissioner shall adopt rules pursuant to chapter 17A implementing this subsection. All statements and information filed with or disclosed to the commissioner pursuant to this subsection are confidential optional public 38 10 records pursuant to chapter 22.

Sec. 66. Section 507E.5, subsection 1, Code 2007, is

amended to read as follows:

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1. All investigation files, investigation reports, and all other investigative information in the possession of the 38 14 38 15 bureau are confidential records under chapter 22 except as 38 16 specifically provided in this section and are not subject to 38 17 discovery, subpoena, or other means of legal compulsion for 38 18 their release until opened for public inspection by the 38 19 bureau, or upon the consent of the bureau, or until a court of 38 20 competent jurisdiction determines, after notice to the bureau 38 21 and hearing, that the bureau will not be unnecessarily 38 21 38 22 hindered in accomplishing the purposes of this chapter by 38 23 their opening for public inspection. However, investigative 38 24 information in the possession of the bureau may be disclosed, 38 25 in the commissioner s discretion, to appropriate licensing 38 26 authorities within this state, another state or the District 38 27 of Columbia, or a territory or country in which a licensee is 38 28 licensed or has applied for a license.

Sec. 67. Section 515.103, subsection 6, paragraph b, Code

38 30 Supplement 2007, is amended to read as follows: 38 31 b. Information filed with the commissioner of insurance 38 32 pursuant to this subsection shall be considered a confidential 38 33 record and be recognized and protected as a trade secret 38 34 pursuant to section 22.7, subsection 3

Sec. 68. Section 523A.204, subsection 3, Code Supplement

2007, is amended to read as follows:

All records maintained by the commissioner under this section shall be confidential optional public records pursuant to section 22.7, subsection 58, and shall not be made available for inspection or copying except upon the approval of the commissioner or the attorney general.

Sec. 69. Section 523A.502A, subsection 2, Code Supplement

2007, is amended to read as follows:
2. All records maintained by the commissioner under this section shall be confidential optional public records pursuant 39 10 39 11 to section 22.7, subsection 58, and shall not be made 39 12 available for inspection or copying except upon the approval 39 13 of the commissioner or the attorney general.

39 14 Sec. 70. Section 523C.23, subsection 1, paragraph c, 39 15 unnumbered paragraph 1, Code 2007, is amended to read as 39 16 follows:

Information obtained in the course of an investigation is -39 18 confidential shall be treated as an optional public record as

provided in section 22.7. However, upon a determination that 39 20 disclosure of the information is necessary or appropriate in 39 21 the public interest or for the protection of consumers, the 39 22 commissioner may do any of the following: 39 23 Sec. 71. Section 556.24A, subsection 2, Code Supplement 39 23 39 24 2007, is amended to read as follows: 39 25 2. Notwithstanding any other provision of law, any other 39 26 identifying information set forth in any report, record, 39 27 claim, or other document submitted to the treasurer of state 39 28 pursuant to this chapter concerning unclaimed or abandoned 39 29 property is a confidential shall be treated as an optional 39 30 public record as provided in section 22.7 and shall be made 39 31 available for public examination or copying only in the 39 32 discretion of the treasurer. 39 33 Sec. 72. Section 692.8A, subsection 4, Code Supplement 39 34 2007, is amended to read as follows: 39 35 4. An intelligence assessment and intelligence data shall be deemed a confidential record of the department under 40 section 22.7, subsection 55, except as otherwise provided in 40 40 3 this subsection. This section shall not be construed to 40 4 prohibit the dissemination of an intelligence assessment to 5 any agency or organization if necessary for carrying out the 40 40 6 official duties of the agency or organization, or to a person 40 if disseminated for an official purpose, and to a person if 40 8 necessary to protect a person or property from a threat of 40 9 imminent serious harm. This section shall also not be 40 10 construed to prohibit the department from disseminating a 40 11 public health and safety threat advisory or alert by press 40 12 release or other method of public communication. Sec. 73. Section 692A.13, subsection 8, Code 2007, is 40 13 40 14 amended to read as follows: 40 15 8. Sex offender registry records are confidential records 40 16 pursuant to section 22.7 and shall only be released as 40 17 provided in this section. 40 18 Sec. 74. Section 708.2B, unnumbered paragraph 2, Code 40 19 2007, is amended to read as follows: 40 20 District departments or contract service providers shall 40 21 receive upon request peace officers' investigative reports 40 22 regarding persons participating in programs under this 40 23 section. The receipt of reports under this section shall not 40 24 waive the confidentiality of the reports under section 22.7. 40 25 Sec. 75. Section 716.6B, subsection 1, paragraph a, Code 2007, is amended to read as follows: 40 26 40 27 a. An aggravated misdemeanor if computer data is accessed 40 28 that contains a confidential an optional public record, as 40 29 defined in section 22.7, operational or support data of a 40 30 public utility, as defined in section 476.1, operational or 40 31 support data of a rural water district incorporated pursuant 40 32 to chapter 357A or 504, operational or support data of a 40 33 municipal utility organized pursuant to chapter 388 or 389 40 34 operational or support data of a public airport, or a trade 40 35 secret, as defined in section 550.2. 41 Sec. 76. Section 907.4, Code 2007, is amended to read as 41 2. follows: 41 DEFERRED JUDGMENT DOCKET. 907.4 41 A deferment of judgment under section 907.3 shall be 41 entered promptly by the clerk of the district court, or the clerk's designee, into the deferred judgment database of the state, which shall serve as the deferred judgment docket. T 41 41 41 8 docket shall contain a permanent record of the deferred 41 judgment including the name and date of birth of the 41 10 defendant, the district court docket number, the nature of the 41 11 offense, and the date of the deferred judgment. Before 41 12 granting deferred judgment in any case, the court shall search 41 13 the deferred judgment docket and shall consider any prior 41 14 record of a deferred judgment against the defendant. The 41 15 permanent record provided for in this section is $\frac{1}{2}$ 41 16 confidential an optional public record exempted from public 41 17 access under section 22.7 and shall be available only to $\frac{-41}{}$ 41 18 justices of the supreme court, judges of the court of appeals, 41 19 district judges, district associate judges, judicial 41 20 magistrates, clerks of the district court, judicial district 41 21 departments of correctional services, county attorneys, and 41 22 the department of corrections requesting information pursuant 41 23 to this section, or the designee of a justice, judge, 41 24 magistrate, clerk, judicial district department of 41 25 correctional services, or county attorney, or department. Sec. 77. Section 915.90, unnumbered paragraph 1, Code 2007, is amended to read as follows: 41 26

A person in possession or control of investigative or other 41 29 information pertaining to an alleged crime or a victim filing

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41 30 for compensation shall allow the inspection and reproduction
41 31 of the information by the department upon the request of the
41 32 department, to be used only in the administration and
41 33 enforcement of the crime victim compensation program.
41 34 Information and records which are confidential optional public
   35 records under section 22.7 and information or records received
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      from the confidential such information or records remain
    2 confidential under this section.
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          Sec. 78. Section 22.6, Code 2007, is repealed. Sec. 79. EFFECTIVE DATE. Except for the cost:
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                     EFFECTIVE DATE. Except for the sections of this
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    5 Act establishing transition provisions for the Iowa public
   6 information board and making an appropriation for the initial
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      expenses of the Iowa public information board, this Act takes
    8 effect July 1, 2009.
9 Sec. 80. IOWA PUBLIC INFORMATION BOARD == TRANSITION
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42 10 PROVISIONS.
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         1. The initial members of the Iowa public information
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      board established pursuant to this Act shall be appointed by
42 13 September 1, 2008.
          2. Notwithstanding any provision of this Act to the
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42 15 contrary, the director of the board and employees of the board 42 16 shall not be hired prior to July 1, 2009.
42 17 3. Prior to July 1, 2009, the board shall submit a report
42 18 to the governor and the general assembly. The report shall
42 19 include a job description for the executive director of the
42 20 board, goals for board operations, and performance measures to
42 21 measure achievement of the board's goals.
42 22 Sec. 81. APPROPRIATION == IOWA PUBLIC INFORMATION BOARD.
42 23 There is appropriated from the general fund of the state to
42 24 the department of management for the fiscal year beginning
42 25 July 1, 2008, and ending June 30, 2009, the following amount, 42 26 or so much thereof as is necessary, to be used for the
42 27 following purpose:
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         For the initial expenses of the Iowa public information
42 29 board as established in this Act:
                                                                         6,000
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             ...... $
42 31 SF 2411
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